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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number 09/998,397

Filing Date November 15, 2001

First Named Inventor Michael John Mayfield

Art Unit 2186

Examiner Name Thai, Tuan V

Attorney Docket Number AUS920010809US1

### ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/  
Incomplete Application

☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application  
☐ Power of Attorney, Revocation  
☐ Change of Correspondence Address

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☐ After Allowance Communication to TC

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify  
below):

Return-receipt postcard

#### Remarks

Reply to Office Action dated March 9, 2005 (10 pages).

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

CARR LLP

Signature

Printed name

Gregory W. Carr

Date

6/9/05

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31,093

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Bradley D. Ellis

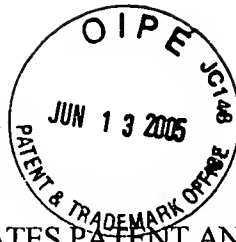
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ATTORNEY DOCKET NO.  
AUS920010809US1 (IBM 2337000)



PATENT APPLICATION  
SERIAL NO. 09/998,397

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mayfield  
Serial Number: 09/998,397

Filed: November 15, 2001

For: SIMPLIFIED LIVELOCK PREVENTION  
FOR RESOURCE OR COHERENCY  
CONFLICTS IN A MICROPROCESSOR  
SYSTEM

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Group Art Unit: 2186

Examiner: Tuan V. Thai

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6/9/05

*Bradley D. Ellis*  
Bradley D. Ellis

RESPONSE

Dear Sir:

In response to the Office Action dated March 9, 2005 ("Office Action"), Applicants  
respond as follows: